

TMILLR.017A



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Thomas J. Miller  
Appl. No. : 10/777,401  
Filed : February 12, 2004  
For : PLUG RETENTION APPARATUS  
Examiner : Unknown

Group Art Unit 2833

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: United States Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450, on

May 27, 2004

(Date)

Kirk E. Pastorian, Reg. No. 48,756

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
P.O. Box 1450  
Office of Initial Patent Examination  
Customer Service Center  
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that the Official Filing Receipt, a copy of which is enclosed, be corrected to reflect the previously submitted Non-Publication Request. Presently, the Filing Receipt incorrectly indicates that Non-Publication has not been requested. Attached herewith is a copy of the REQUEST AND CERTIFICATION UNDER 35 USC 122(b)(2)(B)(i) that indicates the invention has not and will not be the subject of an Application filed in another

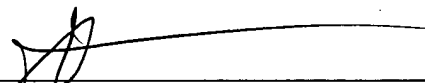
**Appl. No.** : 10/777,401  
**Filed** : February 12, 2004

country, or under a multilateral agreement, that requires publication at eighteen months after filing.

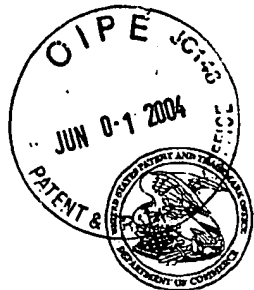
Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 5-26-2004

By:   
Kirk E. Pastorian  
Registration No. 48,756  
Agent of Record  
Customer No. 20,995  
(909) 781-9231

R:\DOCS\KEP\KEP-2498.DOC:lw  
052604



TMILLR.017A (B)  
MHT

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL-FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/777,401	02/12/2004	2833	394	TMILLR.017A	8	21	3

CONFIRMATION NO. 5794

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
2040 MAIN STREET  
FOURTEENTH FLOOR  
IRVINE, CA 92614

FILING RECEIPT



\*OC000000012586592\*

Date Mailed: 05/11/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Thomas J. Miller, Chino Hills, CA;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/519,762, 11/13/2003

Foreign Applications

If Required, Foreign Filing License Granted: 05/10/2004

Projected Publication Date: 05/19/2005

Non-Publication Request: No YES

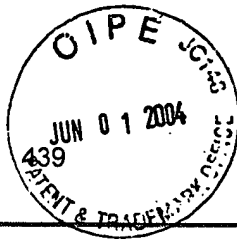
Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Plug retention apparatus

Preliminary Class



---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

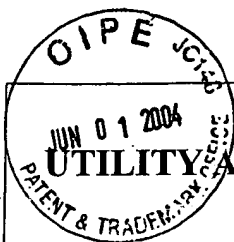
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**UTILITY APPLICATION**Attorney Docket No.: **TMILLR.0 A**First Named Inventor: **Thomas J. Miller**Title: **PLUG RETENTION APPARATUS**Express Mail Label No.: **EV 309 084 227 US****Direct all correspondence to Customer No.: 20995**

Date: February 11, 2004

Page 1 of 2

**Mail Stop Patent Application**

United States Patent and Trademark Office

PO Box 1450

Alexandria, VA 22313-1450

**COPY**

The following enclosures are transmitted herewith to be filed in the patent application of:

Inventor:

1. Thomas J. Miller

**REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)**

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

**APPLICATION ELEMENTS:**

- (X) Specification in sixteen (16) pages.
- (X) Drawings in eight (8) sheets.
- (X) Declaration by Inventors in two (2) pages.
  - Originally signed

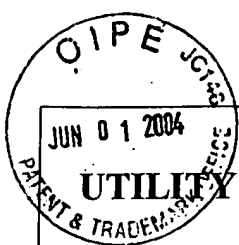
Reference to prior domestic applications is made in the:

- (X) Reference to prior domestic applications is made in the specification.

**CONTINUITY INFORMATION:**

Application	Relationship	Parent App. No.	Filing Date	Status
This Application	non-provisional	60/519,762	11/13/03	pending

- (X) Incorporation by Reference. The entire disclosure of the prior application(s) is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

**UTILITY APPLICATION**

Attorney Docket No.: **TMILLR.01.A**  
First Named Inventor: **Thomas J. Miller**  
Title: **PLUG RETENTION APPARATUS**  
Express Mail Label No.: **EV 309 084 227 US**

**Direct all correspondence to Customer No.: 20995**

Date: February 11, 2004

Page 2 of 2

**OTHER APPLICATION PARTS:**

- (X) Recordation form cover sheet and Assignment in two (2) total pages (cover sheet & assignment).
- (X) Power of attorney form and copy of assignment in three (3) pages.
- (X) Return prepaid postcard.

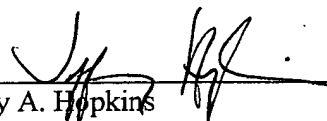
**COPY****FILING FEES:**

The present application qualifies for small entity status under 37 C.F.R. § 1.27. The fees are calculated below:

FEE CALCULATION				
FEE TYPE		FEE CODE	CALCULATION	TOTAL
Basic Utility		2001 (\$385)		\$385
Non-English Spec.		1053 (\$130)		\$0
Recordation Fee		8021 (\$40)	1 x 40 =	\$40
Excess Claims > 20	21 - 20 = 1	2202 (\$9)	1 x 9 =	\$9
Independent > 3	3 - 3 = 0	2201 (\$43)	0 x 43 =	\$0
Multiple Claim		2203 (\$145)		\$0
			<b>TOTAL FEE DUE</b>	<b>\$434</b>

- (X) A check in the amount of **\$434.00** to cover the Total Fee Due is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410.

  
Jeffrey A. Hopkins  
Registration No. 53,034  
Agent of Record  
Customer No. 20,995  
(909) 781-9231